

**Secretary of State**  
 Business Services Division  
 1700 West Washington St.  
 Phoenix, AZ 85007

**STATE OF ARIZONA**



**SECRETARY OF STATE  
 USE ONLY**

Exemption Number:

Date Filed:

**Telephone Solicitation  
 Exemption**

PLEASE FILL OUT YOUR EXEMPTION COMPLETELY. Fields denoted by an Asterisk (\*) are optional.  
 Please TYPE or PRINT

Name and address of the business filing exemption.

Business Name				
*Business Type:	Corporation	Partnership	Sole Proprietorship	Fictitious Business Name
*Address Line 1				
*Address Line 2				
*City	*State	*Zip	*Phone	

**Individual Filing** -- Enter the name and contact information for the individual that has completed this form.

First Name	Middle Name	Last Name		
*Address Line 1				
*Address Line 2				
*City	*State	*Zip	*Phone	
*Email			*Fax	

**Fictitious or DBA name**

Fictitious Business Name			
*State of Registration		*Agency of Registration	
*Address Line 1			
*Address Line 2			
*City	*State	*Zip	

**Additional fictitious or DBA name**

Fictitious Business Name			
*State of Registration		*Agency of Registration	
*Address Line 1			
*Address Line 2			
*City	*State	*Zip	

Additional fictitious or DBA name (Attach additional sheets as necessary)

Fictitious Business Name		
*State of Registration	*Agency of Registration	
*Address Line 1		
*Address Line 2		
*City	*State	*Zip

TO QUALIFY AS EXEMPTED FROM ALL REGISTRATION, THE BUSINESS MUST MEET ONE OR MORE OF THE FOLLOWING CONDITIONS: (CHECK ALL THAT APPLY)

<input type="checkbox"/>	A person acting within the scope of a license issued under title 20.
<input type="checkbox"/>	A person who is registered with the secretary of state pursuant to section 44-6552 or who is exempt from registration pursuant to section 44-6553.
<input type="checkbox"/>	A person making telephone solicitations without the intent to complete and who does not complete the sales presentation during the telephone solicitation but completes the sales presentation at a later face-to-face meeting between the solicitor and the consumer provided that the later face-to-face meeting is not for the purpose of collecting the payment or delivering any item purchased.
<input type="checkbox"/>	A person who after making a telephone contact with a consumer sends the consumer descriptive literature and does not require payment before the consumer's review of the descriptive literature and the person is not conducting a solicitation involving any of the following: (a) The sale of an investment or an opportunity for an investment that is not registered with any state or federal authority. (b) A prize promotion or premium. (c) A recovery service.
<input type="checkbox"/>	A person or solicitor for a person who operates a retail business establishment under the same name as the name used in the solicitation of sales by telephone, if on a continuing basis all of the following apply: (a) Merchandise is displayed and offered for sale or services are offered for sale and provided at the person's business establishment. (b) At least fifty per cent of the person's business involves the buyer obtaining the merchandise at the person's business establishment. (c) The person holds a transaction privilege tax license pursuant to title 42, chapter 5.
<input type="checkbox"/>	A person or solicitor for a person soliciting another business if all of the following occur: (a) At least fifty per cent of the person's dollar volume consists of repeat sales to existing businesses. (b) The person does not conduct a prize promotion that requires or implies that to win a consumer must pay money or purchase merchandise. (c) Neither the person nor any of the person's principals has within twenty years been convicted in any state of a felony or crime of moral turpitude, breach of trust, fraud, theft, dishonesty or violation of telephone solicitation laws, been subject to a final judgment in a civil action involving fraud, deceit or misrepresentation or been subject to an administrative order involving fraud, deceit, misrepresentation or any violation of telephone solicitations laws of any agency of this state, another state, the federal government, a territory of the United States or another country.
<input type="checkbox"/>	A person or solicitor on behalf of a person who solicits sales by periodically publishing and delivering a catalog to consumers if all of the following apply: (a) The catalog contains a written description or illustration of each item offered for sale and the price of each item offered for sale. (b) The catalog includes the business address or home office address of the person. (c) The catalog includes at least twenty-four pages of written material and illustrations. (d) The catalog is distributed in more than one state and has an annual circulation by mail of at least two hundred fifty thousand.

I, THE FILING INDIVIDUAL, AFFIRM THIS REGISTRATION STATEMENT TO BE COMPLETE, TRUE AND CORRECT. (CIRCLE ONE)

AGREE

DISAGREE